Continuous Detection of Design Flaws in Evolving Object-Oriented Programs using Incremental Multi-pattern Matching

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ABSTRACT

Design flaws in object-oriented programs may seriously corrupt code quality thus increasing the risk for introducing subtle errors during software maintenance and evolution. Most recent approaches identify design flaws in an ad-hoc manner, either focusing on software metrics, locally restricted code smells, or on coarse-grained architectural anti-patterns. In this paper, we utilize an abstract program model capturing high-level object-oriented code entities, further augmented with qualitative and quantitative design-related information such as coupling/cohesion. Based on this model, we propose a comprehensive methodology for specifying object-oriented design flaws by means of compound rules integrating code metrics, code smells and anti-patterns in a modular way. This approach allows for efficient, automated design-flaw detection through incremental multi-pattern matching, by facilitating systematic information reuse among multiple detection rules as well as between subsequent detection runs on continuously evolving programs. Our tool implementation comprises well-known anti-patterns for Java programs. The results of our experimental evaluation show high detection precision, scalability to real-size programs, as well as a remarkable gain in efficiency due to information reuse.

CCS Concepts

- Software and its engineering → Maintaining software; Object oriented development; Software evolution;

Keywords

design-flaw detection, continuous software evolution, object-oriented software architecture

1. INTRODUCTION

Object-oriented programming offers software developers rich concepts for structuring initial program designs, in order to cope with the inherent complexity of nowadays large-scale software systems. In this regard, design patterns serve as default architectural templates for solving reoccurring programming tasks in compliance with object-oriented design principles like separation of concerns [1]. As nowadays software systems tend to become more and more long-living, their initial code bases have to be continuously maintained, improved and extended over a long period of time. In practice, corresponding evolution steps are frequently conducted in an ad-hoc (and often even undocumented) manner (e.g., in terms of fine-grained manual program edits). As a result, the initial program design may be prone to continuous erosion, eventually leading to structural decay whose negative side-effects are usually summarized under the notion of software aging [2]. In particular, design flaws potentially infecting object-oriented programs may seriously corrupt code quality, thus increasing the risk for introducing subtle errors during software maintenance and evolution [3, 4, 5].

Object-oriented refactorings have been proposed as effective counter-measure against design flaws by means of behavior-preserving program transformations to be repeatedly interleaved with object-oriented development workflows [6, 7, 8]. In fact, a manual identification of problematic code structures to be removed by applying appropriate refactorings is tedious, error-prone, or even impossible for large-scale software projects. Various approaches have been recently proposed to assist and/or automate the identification of design flaws in object-oriented programs. The different attempts may be roughly categorized into three kinds of symptoms, potentially indicating object-oriented design flaws [9].

- Software metrics assess quality problems in program designs by means of quantified measures on structural code entities (e.g., high coupling and/or low cohesion of classes) [10, 11].
- Code smells qualify problematic, locally restricted code structures and anomalies in-the-small, i.e., at class-/method-/field-level (e.g., relatively large classes) [12, 13, 14, 15, 16].
- Anti-patterns qualify architectural decay in the large, involving several classes spread over the entire program (e.g., God Classes) [17, 3, 18].
Based on this taxonomy, a precise and reliable identification of actual occurrences of design flaws in real-world programs requires arbitrary combinations of software metrics with adjustable thresholds, as well as code smells and anti-patterns into compound detection rules [9]. However, most existing approaches lack a comprehensive formal foundation and uniform, yet modular representation of such design-flaw detection rules. Instead, specifically tailored detection routines are applied for every design flaw individually, and being re-evaluated from scratch for every program version anew during software evolution [11].

In this paper, we present a comprehensive methodology for specifying and automatically detecting design flaws in object-oriented programs. The approach utilizes a unified abstract program model comprising those high-level object-oriented code entities being relevant for a concise specification of well-known design flaws [19, 20]. Based on this model, compound design-flaw detection rules integrate software metrics, code smells and anti-patterns, and allow for arbitrary combinations thereof. The modular nature of the rule language allows for sharing similar symptoms among multiple design-flaw rule specifications. The corresponding pattern-matching routines derived from those rules incrementally augment the underlying abstract program model with qualitative and quantitative design-related information. This technique builds the basis for efficient design-flaw detection by systematically facilitating reuse of information among multiple detection rules, as well as between subsequent detection runs on continuously evolving programs. To sum up, we make the following contributions.

- A comprehensive rule-based methodology for object-oriented design-flaw specification based on a unified program model, integrating the entire spectrum of possible symptoms.
- An efficient technique for concurrently detecting multiple design flaws on continuously evolving programs based on incremental multi-pattern matching.
- A tool implementation automating the detection of well-known object-oriented code smells and anti-patterns in Java programs.
- Evaluation results gained from experimental applications of our tool to real-world Java programs. The results demonstrate high detection precision and scalability to real-size programs, as well as a remarkable gain in efficiency due to information reuse.

Please note that our tool implementation, as well as all experimental data sets, are available on our GitHub site1.

2. BACKGROUND

Consider the sample Java program in Figure 1, serving as our running example in the remainder of this paper. The example comprises an extract from the source code of a simplified object-oriented catalog-software of a video-rental shop. The program design is further illustrated by the class diagram in Figure 2. Originally, the rental shop only possessed early horror classics, like The Blob from 1958. Later on, they decided to reward customers for frequent rentals by providing free bonus movies, like the 'refactored' remake of The Blob from 1988. Therefore, a software developer extended the existing shop system by adding the source code parts highlighted in gray in Figure 1. His design decision was 1http://github.com/Realzeitssysteme/hulk-ase-2016 to add a new sub-class BonusMovie (line 35) to represent free bonus movies. To store the achieved bonus points of each customer, he added a field bonus as well as a corresponding getter and a setter method for this field (lines 24 to 29) to the class Customer. In lines 9 to 18, the creation and calculation of new bonus movies and the required bonus points are implemented by four additional methods in the class Shop. During a subsequent maintenance phase, a senior developer reviewed the evolved software system by re-investigating the class diagram in Figure 2 (again, highlighted in gray) to get an overview of the edits performed.

During code review, the senior developer relies on quantitative software metrics (e.g., number of class members) as well as qualitative characteristics of single classes, methods and fields. The recognition of such local symptoms of particular object-oriented entities (i.e., so-called code smells) therefore relies on manual judgments based on the available metric values. In this particular case, the senior developer considered the Shop class to be significantly larger than the other classes. In addition, the methods of class Shop intensively call getters and setters of other classes that, in turn, do not implement actual functionality, but rather only hold program data. Moreover, different methods in Shop do not call each other as they have different concerns, but mainly call methods in other classes, resulting in low cohesion of class Shop (cf. the review notes in Figure 2, bottom left), but high couplings of that class with other classes.

The presence of a predominant class like Shop, obliged with too much responsibility and degrading other classes to the mere task of storing data, constitutes a misuse of
object-oriented encapsulation concepts. This specific combination of symptoms therefore indicates a well-known object-oriented design flaw, usually referred to as the Blob anti-pattern [17]. As a consequence, software maintenance in subsequent evolution steps may be seriously obstructed and error-prone. For instance, suppose that the video shop later plans to offer on-line streaming, which is however excluded from the bonus system. This new functionality shall be naturally implemented in a new sub-class of the central Shop class. But, concerning the current implementation in Figure 2, this would mean that methods for handling the bonus system are also included in this new class, thus leading to erroneous behavior.

As illustrated by this example, manually performing comprehensive and precise design-flaw detection is tedious, error-prone, and becomes infeasible in a real-world software development scenario comprising hundreds of thousands of lines of source code and multiple developers involved. In this regard, design-flaw identification needs to be assisted and, at least up to some extent, automated. In particular, a comprehensive design-flaw specification and detection framework requires arbitrary combinations of code smells with global properties of object-oriented entities, including software metrics and locally restricted structural patterns occurring in particular classes, methods or fields, and detection of architectural anti-patterns composed of various code smells and coarse-grained global program structures, as well as (static) semantic code patterns.

Revisiting our running example, the identification of the design flaw The Blob in the evolved program involves various code smells. First, the central class constitutes a so-called Controller Class, i.e., a class with a predominant amount of outgoing method calls to members of other classes, clearly exceeding the amount of incoming calls. Moreover, the central class constitutes a Large Class with Low Cohesion, i.e., having a high amount of outgoing method calls compared to those targeting the same class. The other classes centered around this central class serve as Data Classes, as their methods are mostly getters/setters providing accesses to fields storing program data. In this regard, the central class further takes the role of a Data Class User by making intensive use of Data Classes. Each of these code smells may be characterized by utilizing different kinds of established software metrics [21, 22, 23], amongst others:

- **#II/#OI**: number of incoming/outgoing method invocations of a class,
- **LCOM5**: a popular variant of the Lack-of-Cohesion-of-Methods metric,
- **#F/#M**: number of fields/methods in a class, and
- **#SM/#GM**: number of setters/getters in a class.

Based on these metrics, the aforementioned code smells may be described as follows.

- **Data Class**. A Data Class has a high ratio between the number of its getter-setter methods and all of its methods, denoted as 
  \[
  \frac{\#SM + \#GM}{\#M}
  \]
  compared to all other classes in the program.
- **Large Class**. A Large Class has a significantly higher number of members (fields and methods), denoted as \#F + \#M, compared to the average of all classes in the program.
- **Low Cohesion**. A class with Low Cohesion has a significantly higher LCOM5 value compared to the average of all classes in the program.
- **Controller Class**. A Controller Class has a significantly smaller ratio between the number of incoming and outgoing method invocations, denoted as 
  \[
  \frac{\#OI}{\#II}
  \]
  compared to the average of all classes in the program.
- **Data Class User**. A Data-Class User has significantly more accesses to Data Classes compared to the average of all classes in the program.

Finally, specifying fully-fledged architectural anti-patterns requires arbitrary combinations of code smells with global program structures and (static) semantic information. For instance, concerning the The Blob anti-pattern of our running example, we observe that the description and, therefore, the detection of some code smells being part of a The Blob anti-pattern implicitly depend on each other (e.g., in terms of “consists-of” relationships), thus inducing a (partial) decomposition hierarchy among the different symptoms constituting a design-flaw specification. Figure 3 visualizes such a modularized description of the The Blob anti-pattern, making explicit the dependency hierarchy among the respective software metrics and code smells, as initially proposed in [9].

In practice, not only one, but multiple different design flaws have to be taken into account during software main-
tenance. Although differing in subtle details, the variety of design flaws documented in the literature usually share, up to a certain level of detail, similar symptoms. To this end, descriptions of anti-patterns may also include references to (parts of) other anti-patterns. For example, The Blob contains the anti-pattern God Class as integral part of its specification. A God Class is characterized as a relatively Large Class which monopolizes control over system behavior and unifies different system concerns [24]. This is indicated by the code smells Large Class, Controller Class and Low Cohesion in the description shown in Figure 3 [5].

Besides referencing entire anti-pattern descriptions within other anti-patterns, the same may be done on a more fine-grained level by reusing code-smell specifications in different anti-patterns, as demonstrated by the Swiss Army Knife anti-pattern in Figure 4. Intuitively, a Swiss Army Knife is a Large Class which has been designed to act as a super-interface for a huge variety of foreseeable default tasks [17]. In contrast to The Blob, a Swiss Army Knife does not take control over control-flows in the system—it rather fills the role of a universal toolkit. This role is captured by the Internal Overloading code smell, which means that there are many overloaded method implementations with identical names, but different signatures, one for each possible caller-context. To express further characteristics of a Swiss Army Knife, we are able to reuse code smells already specified for God Class and The Blob, namely Controller Class (a Swiss Army Knife does not have this property, also indicated on the corresponding edge in Figure 4) and Large Class. As illustrated by this example, modular description hierarchies not only permit conjunction (AND), but likewise negation (NOT) and disjunction (OR) of design-flaws, as well as arbitrary combinations thereof.

Reconsidering our example, not all the symptoms leading to The Blob appear at the same time as result of one single evolution step; instead, certain code smells may have already been introduced in steps preceding the extension shown in Figure 2. For instance, class Movie has been a Data Class and Shop a Controller Class with Low Cohesion already before the extension took place (i.e., white parts in Figure 2). After the extension (gray parts in Figure 2) has been performed, new Data Classes appear in the system, thus finally leading to The Blob. The intermediate information may therefore be collected and preserved over consecutive evolution steps to facilitate continuous design-flaw detection without re-evaluating the entire set of symptoms of compound design-flaws from scratch.

To summarize, a comprehensive methodology for a formalized specification and automated detection of design-flaws in object-oriented programs has to satisfy the following three requirements R1–R3.

(R1) Formal specification framework for modular description and systematic integration of different styles of established design-flaw symptoms, defined on a unified representation of object-oriented programs.

(R2) Automated derivation of incremental design-flaw detection routines, exploiting information reuse derived for multiple design flaws with similar symptoms while continuously investigating evolving programs.

(R3) Integration into existing software development workflows by making detection results accessible in a comprehensible manner, thus further supporting refactoring decisions [25].

3. RULE-BASED DESIGN-FLAW SPECIFICATION AND INCREMENTAL DETECTION

In this section, we present a rule-based framework for specifying and detecting object-oriented design-flaws that meets the aforementioned requirements R1–R3.

3.1 Program Model

As demonstrated in Section 2, effective object-oriented design-flaw identification requires an appropriate abstract representation of the program under consideration, even for a manual recognition. As object-oriented design flaws mostly occur at the level of high-level object-oriented program entities (classes, fields, methods), the Abstract Syntax Tree (AST) representation of a program is no appropriate representation for design-flaw characterization, because

- the AST comprises every fine-grained syntactic detail of the program, including instruction code in method bodies, and
- the AST does not make explicit further crucial (static) semantic information crosscutting the hierarchical program structure, such as field-access and method-call dependencies between classes.

Hence, instead of considering the entire AST, we illustrated the incremental detection of the The Blob anti-pattern for our running example by annotating related code-smell information on the corresponding UML class diagram representation in Figure 2. However, even if such a class diagram is available for existing software, it usually mainly serves documentation purposes, rather than being directly attached to, and continuously synchronized with, the (evolving) program code. The resulting lack of preciseness and accuracy of existing object-oriented design models, therefore, make them inappropriate for design-flaw specification and detection. Instead, we pursue in our approach to reverse-engineer and continuously update a unified program model representation from the AST of the program source. This program model is specifically tailored in such a way that it serves as a basis for a comprehensive rule-based design-flaw specification according to requirement R1. In particular, the program representation provided by the model abstracts from unnecessary details of the program AST, thus permitting comprehensive identification of, and navigability through program entities being relevant for design-flaw specification. In addition, the model is further augmented with design-related meta-information in terms of (approximated) static semantic dependencies (field accesses and updates, as well as method calls) and quantified structural properties (e.g., coupling/cohesion), derivable from those dependencies.
In order to facilitate information reuse among multiple design-flaws with shared symptoms as well as between subsequent detection runs on continuously evolving programs (cf. requirement R2), the program model further allows for annotating (intermediate) detection results to affected program elements (e.g., marking classes as Large Classes, Data Classes and/or Controller Classes). Those annotations are created and/or aggregated and incrementally refined during design-flaw detection, thus leading to an annotated program model, which is an extension of the program model. The accumulated information may be shared among multiple design-flaw rules, and is continuously synchronized (i.e., updated) with the (evolving) source code.

As an example, Figure 5 shows an extract of the program model instance derived from the AST of the video shop system presented in Section 2. Here, the representation of method `addCustomer` from class `Customer` is enriched with further structural dependencies. Each program element is represented by one or more objects in the model, where each object is identified by a unique name and a type. Please note that we use the naming convention `name:type` in the illustration. For instance, classes are represented as objects of type `TClass` (e.g., `shop:TClass` on the top). The representation of methods (e.g., `addCustomer`) consists of three objects of types `Name`, `Signature` and `Definition`. These three properties of methods are particularly relevant for code-smell and anti-pattern specification including overloading and overriding. The labeled edges between object nodes represent different types of (syntactic and static semantic) dependencies among the corresponding program entities. In particular, access edges (denoting method calls and field accesses performed in `addCustomer`) are depicted at the bottom, including two method calls (`setNameDef` and `setBonusDef`) and, on the right-hand side, one field access to `customerDef`.

In the following section, we describe a design-flaw detection technique for incrementally annotating the program model with aggregated information about software metrics, code smells and anti-patterns.

### 3.2 Design-Flaw Detection using Incremental Multi-Pattern Matching

We now devise a rule-based design-flaw specification approach and an accompanying detection process which integrates the different kinds of design-flaw symptoms (cf. Section 2) by addressing the requirements R1–R3 (Sec. 2).

**R1 – Modular Description.**

To address R1, our design-flaw detection framework provides a modular description technique for all three kinds of design-flaw symptoms. For this purpose, code-smell and anti-pattern descriptions such as those illustrated in Figure 3 and Figure 4, as well as their combinations into compound design flaws, are formulated in terms of graphic detection rules defined on the program model. Figure 7 shows detection rules for the four code smells, occurring in The Blob, namely Controller Class, Low Cohesion, Large Class and Data Class. A detection rule consists of two parts: (i) (unmarked) black elements specify the preconditions of the rule, and (ii) green elements (marked with “++”) specify the annotation creations. Correspondingly, the execution of a detection rule consists of two steps: (i) every (annotated) pattern within the program model is determined, matching the preconditions of the rule, and (ii) for each such pattern, annotations are created and assigned to the affected entities in the program model.

As an example, consider the rule for detecting a Data Class in Figure 7. Here, the precondition (i) matches those classes for which the ratio of the number of getters/setters and the number of all methods is high, compared to all other classes in the program. To evaluate this rule, the ratio is computed for each class and an appropriate threshold for ‘high’ is derived by deploying corresponding software metrics. In step (ii), for the respective `TClass` object of the class fulfilling the preconditions, a corresponding `DataClass` annotation is created and attached to that object. The creation of annotations for Controller Class, Low Cohesion and Large Class code smells is performed in a similar way.

**R2 – Continuous Multi-Pattern Detection.**

Concerning requirements of R2, detection routines are derived from detection rules by means of pattern matching. In
particular, we apply incremental multi-pattern matching to facilitate information reuse on program-model annotations as follows.

- *Incrementally* updating design-flaw annotations from the version history of evolving programs by preserving unaffected annotations and only updating those potentially affected by program changes.
- Detecting *multi-patterns* concurrently: annotations for software metrics, code-smells and anti-patterns are derived only once for a particular program version, and then shared among multiple design-flaws having those symptoms in common.

In our example program, when applying the Data Class rule to `customer:TClass` on the bottom, the class gets a Data Class annotation as seen on the left-hand side of Figure 8. The ratio of getter/setters to all methods is 1 (i.e., there are only getters/setters) whereas for `shop:TClass`, it is 0. Thus, the preconditions of the rules are fulfilled and the annotation is created.

Once the code smell annotations are created in the program model, the detection proceeds with applying anti-pattern detection rules. Figure 6 shows the three rules for detecting and annotating The Blob. The principle of executing an anti-pattern detection rule is exactly the same as for code smell detection rules. The difference lies in the preconditions which in this case also contain code smell annotations.

The God Class rule (bottom left) simply checks the presence of the respective code smell annotations as precondition and creates the God Class annotation accordingly. The Data Class User rule (bottom right) does not solely rely on the Data Class annotation, but it also takes into account an additional static semantic information in the program model: access between members of different classes. If there is an access from a class (in the rule on the right) to another one (in the rule on the left) which is a Data Class, the first one gets annotated as Data Class User. The rule for The Blob (Figure 6 on the top) relies on the two previously introduced rules and annotates a class which is a God Class and a Data Class User as The Blob.

The left-hand side of Figure 8 shows the complete annotation of our sample program model excerpt (Figure 5). As the shop:TClass has all the relevant code smell annotations, it becomes a God Class and as, moreover, it is also a Data Class User, we annotate this class as The Blob (annotations on the top of Figure 8). However, The Blob does not only consist of a single central class, but also of data classes it accesses (here, customer:TClass). In the annotated program model, annotations not only represent single, separated markers in the program code, but they also have structural links representing the associations between them. For example, in Figure 8, the Data Class User annotation of shop points to the Data Class annotation of Customer as prescribed by the Data Class User rule.

Considering the additional rules required for detecting Swiss Army Knife as described in Figure 4, we are able to reuse annotations for Large Class and Controller Class code-smells derived for the The Blob anti-pattern for the detection of Swiss Army Knife as well. Our detection rules therefore allow to express that a Swiss Army Knife should not be a Controller Class. Hence, as the only Large Class is also a Controller Class in our running example, no Swiss Army Knife is detected. To illustrate incremental detection, reconsider the program edit shown in Figure 2. After design-flaw detection has been performed on the original program, Movie is marked as Data Class. After editing the program, this annotation remains unaffected and the Data Class detection rule does not have to be re-executed as the respective annotation is preserved.

To summarize, the rule-based detection process reflects the three kinds of symptoms described in Section 2:

- calculating and integrating software metrics data into the program model by annotating corresponding values to respective program model elements,
- identifying and annotating code smells by evaluating code-smell detection rules, incorporating combinations of software metrics and (locally restricted) pattern matching concerning structural properties of particular classes, methods and fields, and
- detecting anti-patterns using anti-pattern detection rules, comprising code-smell annotations and structural as well as static semantic patterns involving multiple entities of the entire program.

R3 – Integration into Software Development.

To address requirement RQ3, detection results are offered to the developer by propagating program-model annotations into the source as Java annotations. To this end, the program model is continuously synchronized as illustrated on the right-hand side of Figure 8. In this presentation, only those anti-patterns are displayed, being of immediate relevance for subsequent software-maintenance steps. For traceability and documentation purposes, a unique identifier is assigned to every design-flaw.
4. IMPLEMENTATION

We now present an Eclipse-based implementation of the proposed design-flaw detection framework being applicable to Java programs. Our tool, called HULK 2 integrates components for the previously described program modeling, incremental multi-pattern matching and software-metrics computation on Java programs. For constructing the program model and for deriving corresponding pattern-matching routines from detection rules, we utilize the meta-CASE tool eMoflon3. For evaluating software metrics, we use the static analysis tool SourceMeter4. The implementation along with user instructions (as well as our evaluation results) are publicly available on the GitHub site of HULK5. Figure 9 illustrates the analysis steps performed on a given Java program and the corresponding components of HULK in detail.

Model initialization. The program model is constructed (arrow on the top) using the Eclipse plug-in MoDisco6 as a code-to-model adapter. For bidirectional code-to-model synchronization, we apply Triple Graph Grammars (TGG) [27], to establish a correspondence between the MoDisco Java model and our program model for design-flaw detection. Software-metrics calculation. Software-metrics values are calculated by SourceMeter and attached to the respective program model elements (program model with metrics in the upper right corner).

Program-model annotations. Code smell and anti-pattern detection rules are executed to annotate the program model with design-flaw information. Our tool provides a graphical front-end for visually specifying detection rules (closely resembling Fig. 6-7). A rule specification is not attached to one specific design flaw, but rather might be (re-)used within arbitrary compound rule definition via rule references. Based on these rules, routines for incremental multi-pattern matching as described in the previous section, are automatically derivable including schedules for an appropriate application order according to their inter-dependencies. Our tool provides predefined rules for the anti-patterns God Class, The Blob, Swiss Army Knife, Spaghetti Code [17].

3The green monster Hulk is the only member of the Marvel universe known to be able to cope with *The Blob* [26].

4http://www.emoflon.org/

5http://www.sourcemeter.com/

6http://github.com/Echtzeitsysteme/hulk-ase-2016

and is easily extensible to incorporate further detection rules. Continuous detection and annotation propagation. The TGG-based synchronization mechanism facilitates continuous (re-)detection as described in Section 3.2, by propagating/preserving annotations shared between multiple rules as well as between between subsequent detection runs on different program versions.

5. EVALUATION

In this section, we present the evaluation results of applying the implementation of our proposed design-flaw detection technique on a corpus of 13 real-size Java programs from various application domains (cf. the first column in Table 1) to consider the following research questions.

RQ1 (Scalability): Is the proposed design-flaw detection technique applicable to real-size Java programs in a reasonable amount of time?

RQ2 (Precision): Does the proposed design-flaw detection technique produce sufficiently precise results?

RQ3 (Efficiency): To what extent does incremental multi-pattern detection improve the efficiency of the proposed design-flaw detection technique?

Our selection of subject systems relies on former experiments performed for related approaches, as well as on a standard catalog for analyzing evolution of Java systems [9, 18, 28, 29] to address RQ3. We selected open-source Java programs from different application domains, including systems for software developers as well as for end-users. We also aimed at including a range of different program sizes. Moreover, for two programs, Gantt and Xerces, there exists a manual detection oracle which allowed us to evaluate RQ2 [18]. The particular program versions considered for the experiments, together with the URL for accessing source code, are included on our accompanying GitHub site.

5.1 Experimental Setup

We now describe the details of the experimental setup and methodology to obtain the results for answering RQ1–RQ3. Concerning RQ1, we applied our proposed detection technique to all subject systems considering the following anti-patterns: God Class, The Blob, Swiss Army Knife, Spaghetti Code. Moreover, we monitor the execution and measure execution times of each step, namely: (1) program-model initialization (Init), (2) calculation of software metrics (SM), and (3) design-flaw detection (Det).

For answering RQ2, we compare our approach with two approaches that pursue similar goals, but use different techniques: DECOR [9] and BDTEX [18]. DECOR also provides a rule-based approach for anti-patterns specification, but there are essential differences regarding the description formalism and detection mechanism. BDTEX is also similar to DECOR, but further incorporates machine learning.

To evaluate and compare the three approaches concerning RQ2, we rely on the standard detection quality metrics precision and recall [30]. However, these metrics require an oracle delivering correct detection results. For our evaluation, we rely on a set of anti-patterns to serve as oracles that has been manually identified as part of the evaluation of BDTEX [18]. This oracle contains manual judgments about *The Blob* anti-patterns in the programs Gantt and Xerces (cf. also Table 1). Based on this oracle, we conduct our evaluation as follows: true positives are those anti-patterns detected which are also predicted by the oracle, false posi-
### 5.2 Results and Discussion

We present and discuss the results of our experiments with respect to our research questions. All experiments have been performed on a Windows 10 PC with an Intel i5-3570K quad-core processor, 8 GB DDR3 RAM and JDK v1.8.0_91.

#### RQ1 – Scalability.

Table 1 lists the Java programs used as subject systems along with their size (LOC: Lines of Codes, #C: number of classes). In the next three columns, detailed results of execution times (phases (1)–(3), see Section 5.1) are given in seconds (median values out of 5 runs). The last column (AP) shows the number of detected anti-patterns. The first plot in Figure 10 depicts the relation between detection times (the time spent for actual detection after initialization and metrics calculation) and the number of classes in the program. The second plot in Figure 10 depicts the relation between detection times (similar to the first plot) and the number of anti-patterns found in the program. Both plots indicate a second-order polynomial increase as expected.

To answer RQ1, the results show that the time required for design flaw detection is reasonable also for larger-scale programs. In all cases, the time required for phase (3) is much lower than the execution times of phases (1) and (2). As our implementation supports continuous detection, initialization costs might be omitted later on in the case of evolving programs. The relatively low execution times of the detection itself make our approach applicable for frequent usage on real-size Java projects.

#### RQ2 – Precision.

The precision and recall values for detecting The Blob in Ganit and Xerces are given in Table 2, where the values for DECOR and BDTEX are based on the oracle and evaluation data in [18]. In contrast to other approaches, we decided to adjust our design-flaw rules to obtain high precision. We believe that for an automated design-flaw detection approach to gain user-acceptance for assisting continuous software development and maintenance, it is crucial to avoid too many...

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Table 1: Program Statistics and Execution Times

<table>
<thead>
<tr>
<th>Project</th>
<th>LOC</th>
<th>#C</th>
<th>Init</th>
<th>SM</th>
<th>Det</th>
<th>AP</th>
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<td>13.36</td>
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<td>13.89</td>
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<td>JUnit</td>
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<td>105</td>
<td>58.2</td>
<td>14.54</td>
<td>0.13</td>
<td>9</td>
</tr>
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<td>260.47</td>
<td>35.46</td>
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</tr>
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<td>Lucene</td>
<td>25,472</td>
<td>276</td>
<td>474.03</td>
<td>33.15</td>
<td>0.65</td>
<td>24</td>
</tr>
<tr>
<td>log4j</td>
<td>31,429</td>
<td>312</td>
<td>742.05</td>
<td>47.59</td>
<td>0.65</td>
<td>29</td>
</tr>
<tr>
<td>JHotDraw</td>
<td>49,829</td>
<td>514</td>
<td>1,251.56</td>
<td>58.38</td>
<td>1.64</td>
<td>38</td>
</tr>
<tr>
<td>jEdit</td>
<td>53,214</td>
<td>860</td>
<td>1,461.75</td>
<td>80.34</td>
<td>4.2</td>
<td>38</td>
</tr>
<tr>
<td>PMD</td>
<td>71,348</td>
<td>36</td>
<td>1,363.07</td>
<td>157.29</td>
<td>0.14</td>
<td>16</td>
</tr>
<tr>
<td>JTransforms</td>
<td>102,052</td>
<td>642</td>
<td>4,573.5</td>
<td>122.6</td>
<td>2.89</td>
<td>39</td>
</tr>
<tr>
<td>Azureus</td>
<td>201,527</td>
<td>1,623</td>
<td>12,275.55</td>
<td>238.88</td>
<td>17.2</td>
<td>91</td>
</tr>
</tbody>
</table>

---

Figure 10: Detection Times for RQ1

- **RQ1 – Scalability**
- **RQ2 – Precision**
Table 2: Comparison: Precision and Recall for Gantt and Xerces – Detection of The Blob

<table>
<thead>
<tr>
<th>Approach</th>
<th>Gantt Precision [%]</th>
<th>Gantt Recall [%]</th>
<th>Xerces Precision [%]</th>
<th>Xerces Recall [%]</th>
</tr>
</thead>
<tbody>
<tr>
<td>HULK</td>
<td>100</td>
<td>50</td>
<td>100</td>
<td>50</td>
</tr>
<tr>
<td>DECOR</td>
<td>87.5</td>
<td>63.6</td>
<td>90.6</td>
<td>100</td>
</tr>
<tr>
<td>BDTeX</td>
<td>21</td>
<td>100</td>
<td>21</td>
<td>100</td>
</tr>
</tbody>
</table>

Table 3: Execution Times and Speed-up for RQ3.1

<table>
<thead>
<tr>
<th>Project</th>
<th>Blob [s]</th>
<th>SAK [s]</th>
<th>SC [s]</th>
<th>All [s]</th>
<th>Smulti [%]</th>
</tr>
</thead>
<tbody>
<tr>
<td>QuickUML</td>
<td>18.17</td>
<td>12.23</td>
<td>10.35</td>
<td>12.29</td>
<td>69.8</td>
</tr>
<tr>
<td>JSciCalc</td>
<td>15.34</td>
<td>15.32</td>
<td>15.28</td>
<td>15.42</td>
<td>66.4</td>
</tr>
<tr>
<td>JUnit</td>
<td>16.70</td>
<td>16.66</td>
<td>16.40</td>
<td>16.70</td>
<td>66.4</td>
</tr>
<tr>
<td>Gantt</td>
<td>35.91</td>
<td>35.71</td>
<td>35.75</td>
<td>36.07</td>
<td>65.9</td>
</tr>
<tr>
<td>Nutch</td>
<td>30.47</td>
<td>30.30</td>
<td>30.42</td>
<td>30.70</td>
<td>66.4</td>
</tr>
<tr>
<td>Lucene</td>
<td>33.90</td>
<td>33.86</td>
<td>33.80</td>
<td>34.30</td>
<td>66.0</td>
</tr>
<tr>
<td>log4j</td>
<td>43.77</td>
<td>43.65</td>
<td>43.61</td>
<td>44.09</td>
<td>66.4</td>
</tr>
<tr>
<td>JHotDraw</td>
<td>48.00</td>
<td>46.05</td>
<td>46.33</td>
<td>47.80</td>
<td>66.7</td>
</tr>
<tr>
<td>jEdit</td>
<td>59.44</td>
<td>58.73</td>
<td>58.90</td>
<td>60.40</td>
<td>65.2</td>
</tr>
<tr>
<td>PMD</td>
<td>79.81</td>
<td>78.21</td>
<td>80.76</td>
<td>81.53</td>
<td>65.4</td>
</tr>
<tr>
<td>JTransforms</td>
<td>159.76</td>
<td>157.59</td>
<td>158.37</td>
<td>158.86</td>
<td>66.9</td>
</tr>
<tr>
<td>Xerces</td>
<td>110.96</td>
<td>109.22</td>
<td>109.54</td>
<td>111.56</td>
<td>66.2</td>
</tr>
<tr>
<td>Azureus</td>
<td>225.46</td>
<td>220.99</td>
<td>224.62</td>
<td>235.62</td>
<td>64.8</td>
</tr>
</tbody>
</table>

false alarms. However, aiming at high precision comes with a price regarding recall (i.e., precise detection rules tend to miss cases being considered as design flaws by other approaches) as can be seen in Table 2. For smaller programs with fewer design flaws (Gantt), we achieve 100% precision while still reaching a recall close to DECOR. Even for a large program with presumably many design flaws (Xerces), we achieve maximal precision, but reach a very low recall value due to our much more restrictive detection rules and a high number of The Blob anti-patterns demanded by the oracle. One of our subject systems, JHotDraw, is widely known for its excellent object-oriented design with no major anti-patterns as it has been developed as a design exercise for object-oriented design experts [18]. Our approach detects 0 The Blob anti-patterns in JHotDraw, while detection with BDTeX results in 1 false positive.

To summarize RQ2, we conclude our approach to guarantee high precision at the cost of low recall values. Nevertheless, the rule definitions allow for arbitrary adjustments in either directions.

RQ3 – Efficiency.

Concerning RQ3.1, Table 3 shows the execution times of metrics calculation and design-flaw detection (average value over 10 runs) for our four scenarios (cf. Sec. 5.1), along with the achieved speed-up $s_{\text{multi}}$. The reason for this value being close to 2/3 of the time required for every program is that, as already seen for RQ1, the detection times are negligible compared to metrics calculation, which only takes place once in case of multi-pattern matching (All). Evaluating the execution times for subsequent runs, standard deviation was in every case negligible (less than 8%)

Concerning RQ3.2, Figure 11 shows the ratio of $t_0$ and $t_\Delta$ as a bar-chart for the four basic program edits. The achieved speed-up $s_{\text{evolution}}$: Delete Method: 44.16%, Create Class: 42.84%, Create Method: 57.7%, Rename Class: 26.05%. As expected, edits on smaller programs (QuickUML) lead to a lower, but still remarkable speed-up, as the ratio of the edited program part to the whole is higher in this case. In general, the achieved speed-up factor is highly encouraging, continuous and incremental design-flaw detection being the main goal of our approach.

5.3 Threats to Validity

A general threat to internal validity may arise from the selection of subject systems not being representative; to address this issue, we thoroughly investigated related literature for our selection to cover a broad spectrum regarding both size and application domains. In addition, most of the programs have been considered for evaluation purposes by comparable approaches. Another general issue is the NP-completeness of graph isomorphism used by pattern matching. However, in our case, we achieve polynomial complexity by restricting pattern matching using fixed entry points.

Concerning RQ3.2, we focus on a small set of self-defined program edits. Although our investigations show that typical evolution steps, not aiming at bug elimination but on structural improvement or program extensions, mainly comprise those kinds of edits, they are naturally limited in scope and are specific to the particular program. However, those edits constitute the most general building blocks of frequent evolution steps and, therefore, our experiments can be assumed to properly simulate evolution-related phenomena occurring in real-life evolving systems. Nevertheless, as part of future work, we plan to further investigate continuous design-flaw detection scenarios by emulating entire version histories available in real-life software repositories.

One major external threat to validity of our design-flaw detection experiments is the manifold possible interpretation of code smells and anti-patterns, resulting in non-comparable detection results, especially for RQ2. The standard literature on the description of code smells and anti-patterns largely comprise informal, prose-style circumscriptions of symptoms [17, 8, 13]. However, there is no generally accepted formalization of even the most widely studied design flaws so far. As a consequence, a missing unification of design-flaw descriptions thus leads to each detection tool having its own underlying design-flaw interpretation. Thus, when comparing design-flaw detection approaches, it is not the approaches competing but some (implicit or explicit) configurations of them. In our experiments, this has the most severe effect on the precision results answering RQ2, but it also threatens the validity of any performance measurement (RQ1, RQ3). A further threat for the results of RQ2 is the lack of publicly available, curated oracles for anti-pattern detection. The only oracle available to us has been initiated for evaluating the BDTeX approach [18] and
has a limited scope. Our own experiences with our proposed technique have shown that our rule language is expressive enough to support a wide range of possible interpretations and adjustments of design-flaw characterizations. Validity of the results for RQ1 may be threatened by the lack of data for comparison with similar tools. Although some approaches such as DECOR [9] provide execution times, it is not possible to identify and distinguish the different phases (cf. (1)-(3) in Sec. 5.1) for comparison. Moreover, the available results are not reproducible due to missing information on measurement setup. In addition, they do not provide detailed information about their underlying program representation and its initialization. A further external threat to validity concerning scalability (RQ1 and RQ3.1) may arise from the choice of SourceMeter to calculate software metrics externally. Thereby, we do not have influence on the efficiency of metrics calculation and we did not evaluate further alternatives so far.

6. RELATED WORK

In this section, we categorize various design-flaw detection approaches based on the applied technique(s) and analyze the similarities and differences to our approach.

**Metric-Based.** A common method to detect code smells and anti-patterns is by means of software metrics. Simon et al. define a generic distance measure that can be applied to identify anomalies inducing certain refactorings in an underlying language [31]. Mäntylä makes use of atomic metrics to evaluate their applicability for code smell detection compared to human intuition, concluding that metric-based detection often contradicts human perception [13]. Munro proposes to capture informal code smell descriptions by means of a set of metrics and to identify possible occurrences in Java programs based on those metrics [10]. Compared to our approach, all of the above use rather simple metrics that are limited in their capability to detect code smells. Moreover, they use static thresholds for their decision process, whereas we derive relative thresholds from system properties.

More advanced approaches are proposed by Marinescu [11] and Kessentini et al. [32], respectively. Marinescu goes beyond simple metrics by applying different kinds of filters and composition rules and using relative thresholds for metric values, thus achieving a better precision. Kessentini et al. formulate the detection as a combinatorial optimization problem over a set of metrics, based on previously evaluated examples and genetic algorithms to optimize smell descriptions. The main difference to our approach is that we additionally use structural information and provide a systematic methodology how code smells are combined to anti-patterns, thus, providing reuse opportunities for detected code smells.

An inverse approach is taken by Kessentini et al. [33], who measure the defectiveness of the software in terms of deviation from good coding practice, provided as design patterns. In a similar way, O’Keeffe et al. propose to formulate design problems and subsequent program refactoring as a metric-based optimization problem [34]. However, these approaches rather aim at directly performing improvement actions instead of detecting and annotating design flaws in the program for dedicated maintenance activities.

**Machine Learning.** Some detection methods employ machine learning techniques to detect code smells and anti-patterns. Khom et al. use a Bayesian approach to detect code smells [18]. However, as input for their approach, they still need the result of some code smell detection tool (here: DECOR [9]). Similarly, Fontana et al. propose a large-scale case study on common code smells and anti-patterns using different supervised ML techniques [16]. Finally, Maiga et al. propose an approach based on support vector machines (SVM) that also takes user feedback into account [35]. While all of these approaches may improve the detection results, we consider them as complementary techniques as they rely on external design-flaw data. Also, these approaches do not take structural properties into account.

**Advanced Techniques.** Some approaches exploit additional information such as program structures, represented by more precise program representations such as AST or PDG. A variety of such techniques have been proposed to detect code clones, a common smell in evolving systems. In particular, these techniques are token-based [36], AST-based [37], PDG-based [38], or use static analysis [39]. However, as they only focus on code clones, these approaches capture only a very limited subset of smells.

An approach that is more related to ours has been proposed by Van Emden et al. [14], who use a model-based approach to describe program structure and code smell characteristics. However, in contrast to our approach, they do not provide incremental detection for evolving systems. Moha et al. [9] propose a rule-based detection approach. Similar to us, they provide a taxonomy of code smells and anti-patterns and how they are related. Based on rules, they specify properties that must hold for a smell to be detected, including various metrics. Although similar from several viewpoints, their approach does not support detection information reuse.

**Tools.** Beyond the aforementioned approaches, several tools exist, such as CodeSonar, SourceMeter [40], PMD [41], or FindBugs [42, 41] that provide a rich set of analysis facilities, such as static analysis, software metrics, or fault analysis. While these analyses might provide valuable data (in fact, we are using SourceMeter for metrics), none of these tools provide comprehensive design-flaw detection.

7. CONCLUSION AND FUTURE WORK

We presented a comprehensive methodology for effectively and efficiently detecting design flaws in continuously evolving object-oriented programs. The approach utilizes an abstract program model to define detection rules, integrating different kinds of design-flaw symptoms. By applying incremental multi-pattern matching, the approach allows for systematically reusing detection information in order to reduce detection efforts. Our evaluation results further show scalability to real-size programs and high detection accuracy. As a future work, we plan to conduct further long-term experiments on continuously evolving systems, based on program edits derived from version histories of real-life software repositories. We also plan to extend our pattern language with logical constructs such as ‘or’, enlarge the catalog of design flaws using our framework and to conduct user studies to gain a better understanding about the impact of design flaws on software maintenance and evolution.

8. ACKNOWLEDGEMENTS

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9. REFERENCES


